

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

07/11/2003 WASFAW1 00000013 090461 10615436

01 FC:1001 750.00 DA

03/15/2005 SFCRD1 00000002 090461 10615436

01 FC:1201 336.00 DA

PTO-1556
(5/87)

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number
Filing Date
First Named Inventor Saroshi Kawase
Examiner Name David E. England
Art Unit 2143
Attorney Docket No. JP9-1999-00991US2

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 09/0461
Deposit Account Name

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	750
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ 750.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 3 Extra Claims -20** = 0 X Fee from below = 0
Independent Claims 3 - 3** = 0 X Fee from below = 0
Multiple Dependent Claims 0 Fee from below = 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	1052 50	2052 25	Surcharge - late filing fee or oath	
1053 130	2053 130	1812 2,520	2520 2,520	Surcharge - late provisional filing fee or cover sheet	
1812 2,520	2520 2,520	1804 920*	920* 920*	Non-English specification	
1804 920*	920* 920*	1805 1,840*	1840* 1,840*	For filing a request for ex parte reexamination	
1805 1,840*	1840* 1,840*	1251 110	2251 55	Requesting publication of SIR prior to Examiner action	
1251 110	2251 55	1252 410	2252 205	Requesting publication of SIR after Examiner action	
1252 410	2252 205	1253 930	2253 465	Extension for reply within first month	
1253 930	2253 465	1254 1,450	2254 725	Extension for reply within second month	
1254 1,450	2254 725	1255 1,970	2255 985	Extension for reply within third month	
1255 1,970	2255 985	1401 320	2401 160	Extension for reply within fourth month	
1401 320	2401 160	1402 320	2402 160	Extension for reply within fifth month	
1402 320	2402 160	1403 280	2403 140	Notice of Appeal	
1403 280	2403 140	1451 1,510	2451 755	Filing a brief in support of an appeal	
1451 1,510	2451 755	1452 110	2452 55	Request for oral hearing	
1452 110	2452 55	1453 1,300	2453 650	Petition to institute a public use proceeding	
1453 1,300	2453 650	1501 1,300	2501 650	Petition to revive - unavoidable	
1501 1,300	2501 650	1502 470	2502 235	Petition to revive - unintentional	
1502 470	2502 235	1503 630	2503 315	Utility issue fee (or reissue)	
1503 630	2503 315	1460 130	2460 65	Design issue fee	
1460 130	2460 65	1807 50	2807 25	Plant issue fee	
1807 50	2807 25	1806 180	2806 90	Petitions to the Commissioner	
1806 180	2806 90	8021 40	2821 20	Processing fee under 37 CFR 1.17(q)	
8021 40	2821 20	1809 750	2809 375	Submission of Information Disclosure Stmt	
1809 750	2809 375	1810 750	2810 375	Recording each patent assignment per property (times number of properties)	
1810 750	2810 375	1801 750	2801 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1801 750	2801 375	1802 900	2802 450	For each additional invention to be examined (37 CFR 1.129(b))	
1802 900	2802 450			Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

(Complete if applicable)

Name (Print/Type) A. Bruce Clay Registration No. (Attorney/Agent) 32,121 Telephone 919-254-6717
Signature A. Bruce Clay Date July 8, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.